

LEGISLATIVE RESOURCE CENTER
2012 AUG 17 PM 1:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

X Original ____ Amendment

U.S. House of Representatives
112th Congress

EMPLOYEE
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within **15 days** after travel is completed. The Clerk is to make these forms publicly available as soon as possible after they are received. *Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.*

Name of Employee (print or type): Jesse Young

Name of Accompanying Family Member (if any): N/A

Relationship to Employee: ☐ Spouse ☐ Child ☐ Other (specify): _____

Date of Departure and Date of Return: August 8, 2012

Dates at Personal Expense: _____

Itinerary (cities of departure – destination – return): _____

Depart Washington, DC; Destination Philadelphia, PA; Return Washington, DC

Sponsor(s) (who paid for the trip): Third Way

Describe meetings and events attended (attach additional pages if necessary): The day was broken up into 3 sessions: 1) Derivatives, 2) Currency Manipulation in Capital Markets, and 3) Risk Management in Banking.

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

1. ☒ the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
2. ☒ the Privately-Sponsored Travel Approval Form completed by the employee; *and*
3. ☒ the Committee on Ethics letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box): ☒

If not, explain: _____

Christopher Murphy

TRAVEL EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For employee:	\$119	N/A	\$34.00
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For employee:	\$0	
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF EMPLOYEE: _____

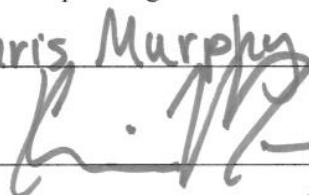

DATE: 8/17/12

I authorized this travel in advance. I have determined that all of the expenses listed above were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: _____

Chris Murphy

SIGNATURE OF SUPERVISING MEMBER: _____


DATE: 8/17/12

Version date 1/2011 by Committee on Ethics

U.S. House of Representatives
Committee on Ethics

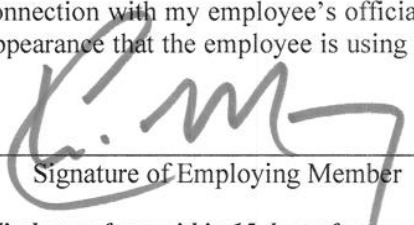
PRIVATELY SPONSORED TRAVEL: TRAVELER FORM

1. Name of Traveler: JESSE YOUNG
2. Sponsor(s) (who will be paying for the trip): Third Way
3. Travel destination(s): Philadelphia, PA
4. a. Date of Departure and Date of Return: August 8, 2012
- b. Will you be extending the trip at your personal expense? ☐ Yes ☒ No
- If yes, dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? ☐ Yes ☒ No
- b. If yes, name of accompanying family member: _____
- c. Relationship to traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? ☐ Yes ☒ No
- b. If yes, check one of the following: ☐ N/A – Sponsor checked 9(a) or 9(b)
- (1) Approval for one-night's lodging and meals is being requested: ☐ or
- (2) Approval for two-nights' lodging and meals is being requested: ☐
- If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box): ☒
8. Explain why participation in the trip is connected to your individual official or representational duties:
I AM A FINANCIAL SERVICES POLICY AIDE

9. **FOR STAFF:**
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 7/24/12


Signature of Employing Member

NOTE: This page must be submitted with your post-travel disclosure form within 15 days of your return, so you should maintain a photocopy of the completed form for your records.

U.S. House of Representatives
Committee on Ethics

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): Third Way

2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box): ☒
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box): ☒
4. Is travel being offered to an accompanying family member of the House invitee(s)? ☐ Yes ☒ No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): see attached

6. Dates of travel: August 8, 2012

7. Cities of departure – destination – return: Depart Washington, DC ; Destination Philadelphia, PA ;
Return Washington, DC

8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box): ☒
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: ☒ or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: ☐ or
 - b. Two-nights' lodging and meals are being offered: ☐If "b" is checked, explain why the second night is warranted: _____

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (*signify "yes" by checking box*): ☒
12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: _____
Third Way will be responsible for developing all content, organizing logistics for participants to and from Philadelphia, and facilitating the policy discussions.
13. Describe each sponsor's organizational interest in the purpose of the trip: _____
This trip will be a policy day devoted to better understanding, and discussing moderate solutions to, issues related to capital markets - as is consistent with Third Way's Capital Markets Initiative.
14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (*i.e.*, a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: _____
Rail (coach class) or personal automobile (Third Way will reimburse for gasoline at the current government rate).
15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (*signify "yes" by checking box*): ☒
16. I represent that either (*check one of the following*):
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ *or*
 - b. The trip involves events that are arranged or organized *specifically with regard* to congressional participation: ☒
If "b" is checked, detail the cost per day of meals (approximate cost may be provided): \$30 which will include lunch and two "snack" sessions.
17. Reason for selecting the location of the event or trip: Wharton is considered one of the top business schools in the country, and is within convenient traveling distance of Washington, DC.
18. Name of hotel or other lodging facility: N/A
19. Cost per night of hotel or other lodging facility (approximate cost may be provided): N/A
20. Reason(s) for selecting hotel or other lodging facility: N/A

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total <i>Transportation</i> Expenses per Participant	Total <i>Lodging</i> Expenses per Participant	Total <i>Meal</i> Expenses per Participant
For each Member, Officer, or employee	\$100	n/a	\$30
For each accompanying family member			

	<i>Other</i> Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (<i>e.g.</i> , taxi, parking, registration fee, <i>etc.</i>)
For each Member, Officer, or employee	\$0	
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (*signify "yes" by checking box*): ☒

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: Lauren Oppenheimer, Senior Policy Advisor

Organization: Third Way

Address: 1025 Connecticut Ave. NW Suite 501, Washington DC 20036

Telephone number: 202-384-1718

Fax number: 202-775-0430

Email Address: LOppenheimer@thirdway.org

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

Version date 3/2011 by Committee on Ethics

Jo Bonner, Alabama
Chairman
Linda T. Sánchez, California
Ranking Member

Michael T. McCaul, Texas
K. Michael Conaway, Texas
Charles W. Dent, Pennsylvania
Gregg Harper, Mississippi

John A. Yarmuth, Kentucky
Donna F. Edwards, Maryland
Pedro R. Pierluisi, Puerto Rico
Joe Courtney, Connecticut



ONE HUNDRED TWELFTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

August 6, 2012

Daniel A. Schwager
Staff Director and Chief Counsel

Joanne White
Administrative Staff Director

Kelle A. Strickland
Counsel to the Chairman

Daniel J. Taylor
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

Mr. Jesse Young
Office of the Honorable Chris Murphy
412 Cannon House Office Building
Washington, DC 20515

Dear Mr. Young:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Philadelphia, Pennsylvania, scheduled for August 8, 2012, sponsored by the Third Way.

You must complete an Employee Travel Disclosure Form (which your employing Member must also sign) and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including all attachments. You must also include a copy of the Traveler Form completed by you and signed by your employing Member. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$350 from a single source on Schedule VII of that statement.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Jo Bonner
Chairman

Linda T. Sánchez
Ranking Member

JB/LTS:kd

House Ethics Supplemental Form

1. Agenda



The Aresty Institute of Executive Education
The Wharton School of the University of Pennsylvania
Third Way: Congressional B-School Day at Wharton
Philadelphia, PA
8-Aug-12

**PLEASE NOTE: Start times and session lengths, formats and titles may change.
Topics, faculty and location are confirmed.**

Wednesday, August 8	
Program Information Classes: Hattersley, 2nd Floor Steinberg Conference Center 255 South 38th Street Philadelphia, PA 19104 215.386.8300 (tel) 215.573.3426 (fax) Program Director: Bianca Swift Faculty Director: Franklin Allen Program Manager: TBD	Breakfast on your Own
	10:15 - 10:45
	Registration & Coffee
	10:45 - 11
	Welcome & Overview <i>Franklin Allen</i>
	11:00 - 12:30
	Format TBD: Derivatives <i>Krishna Ramaswamy</i>
	Lunch 12:30 - 1:30
	1:30 - 3:00
	Format TBD: Risk Management in Banking <i>Richard Herring</i>
	Break 3:00 - 3:30
	3:30 - 4:30
	Lecture with Q&A: Currency Manipulation in Capital Markets <i>Franklin Allen</i>
	4:30 - 4:45
	Concluding Remarks <i>Franklin Allen</i>
	Dinner on your Own

2. Invitation List

First Name	Last Name	Employing Office	Title	Reason
Michael	Borden	Financial Services Committee	Senior Counsel	As Senior Counsel to the House Financial Services Committee, Mr. Borden will provide the committee's perspective on capital markets, regulation, and financial market's impact on the economy.
Brian	Branton	Office of Rep. Jared Polis	Chief of Staff	Mr. Branton serves as Chief of Staff to New Democrat Member, Rep. Jared Polis, and as such will provide perspective into how the New Democrat Coalition views current banking and financial regulation.
Brandon	Casey	Office of Rep. Allyson Schwartz	Legislative Assistant	As the financial services and banking legislative assistant to Rep. Schwartz, the Vice Chair of the New Democrat Coalition, Mr. Casey can provide valuable insights into the coalition's perspective on capital markets.
Kevin	Edgar	Financial Services Committee	Senior Counsel	As Senior Counsel to the House Financial Services Committee, Mr. Edgar will provide the committee's perspective on banking regulation, and the role risk management plays in financial markets.
Laura	Erickson Hatafsky	Office of Rep. Mike Honda	Legislative Assistant	As a Legislative Assistant handling a portfolio of economic issues for Rep. Honda, Ms. Hatafsky will provide context into how derivatives and currency issues affect the economy.

Elizabeth	Hart	Office of Rep. John Carney	Chief of Staff	As Chief of Staff to Rep. Carney, who sits on the Financial Services Committee, our discussions are closely related to the work of Ms. Hart, and we look forward to hearing her perspective during our discussion of banking size and risk management.
John	Hughes	Office of Democratic Whip Steny Hoyer	Legislative Assistant	As the financial services legislative assistant to Whip Hoyer, the conference is directly related to Mr. Hughes's duties and he will be able to share the perspectives and insights of House Democratic Leadership on capital markets issues.
Shannon	McGahn	Financial Services Committee	Deputy Staff Director	As Deputy Staff Director for Strategy and Public Affairs for the Financial Services Committee, Ms. McGahn will provide a unique perspective on the committee's work on capital markets issues.
Kirsten	Mork	Office of Rep. Jeb Hensarling	Legislative Director	As Legislative Director for Rep. Hensarling, the Vice Chairman of the Financial Services Committee, Ms. Mork will give valuable insight into issues surrounding capital markets and financial institutions.
Geoffrey	Okamoto	Office of Rep. John Campbell	Legislative Assistant	As the legislative assistant for Rep. Campbell who serves on the Financial Services subcommittee on Capital Markets and International Monetary Policy, Mr. Okamoto will provide extensive commentary on currency issues.

Sergio	Rodriguera	Financial Services Committee	Professional Staff	Mr. Rodriguera's previous experience at the Treasury Department and his current role as a professional staff on the Financial Services Committee will provide insight on capital markets regulation and policy.
Aaron	Sporck	Financial Services Committee	Professional Staff	As a professional staff member for the Financial Services Committee, Mr. Sporck will provide insight into the committee's work in financial markets, derivatives, currency, and banking regulation.
Alex	Teel	Financial Services Committee	Professional Staff	As a professional staff member for the Financial Services Committee, Mr. Teel will provide the committee's majority perspective on capital markets, derivatives, and risk management.
Jesse	Young	Office of Rep. Chris Murphy	Legislative Assistant	Mr. Young serves as the Financial Services LA for Rep. Murphy, a member of the New Democrat Coalition. We look forward to hearing the New Democrat's perspective on banking issues.